



WAYNE GRAYSON
— & ASSOCIATES —

Disclosure Statement - Financial Advisor

Name of financial adviser:	Craig Batty
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Trading name:	Wayne Grayson and Associates
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It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about a wide range of insurance products, other than investment linked insurance contracts, as defined in the Financial Advisers Act 2008.

If you require advice on other areas of insurance, such as risk management, I can refer you to an adviser who specialises and has the required competence and skills in that area.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem.

You may contact my internal complaints scheme by emailing kane@waynegrayson.co.nz with a brief overview of your problem, concern or complaint. An acknowledgement of your concern will be sent immediately and a reply on the matter will be sent to you within seven days. If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact The Office of the Ombudsmen.

This service will cost you nothing, and will help us resolve any disagreements. You can The Office of the Ombudsmen at:

Address:	PO Box 10152, Wellington 6143
Telephone number:	0800 802 602
Website address:	www.ombudsman.parliament.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at www.fspr.govt.nz. The Financial Markets Authority (FMA) regulates financial advisers. Contact the FMA for more information, including financial tips and warnings.

You can report information or complain about my conduct to the FMA, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above under 'What should you do if something goes wrong?'

Declaration

I, Kane Butler, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the [Financial Advisers Act 2008](#) and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

Craig Batty
Principal

waynegrayson.co.nz